PD990029 Div 1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

pplicant

Hartmut Richeter et al.

erial No.

09/575,080

Filed

September 12, 2006

For

OPTICAL RECORDING MEDIUM HAVING AT LEAST TWO RECORDABLE

**LAYERS** 

Examiner

Brian E. Miller

Art Unit

2627

## INFORMATION DISCLOSURE STATEMENT

[X]1 Pursuant to 37 CFR 1.97(b)

[within 3 months of filing or prior to 1st Office Action]

[]2 Certification Pursuant to 37 CFR 1.97(c)

[before Final Office Action or Allowance]

Fee Payment Pursuant to 37 CFR 1.97(c) []3

[before Final Office Action or Allowance]

Petition, Certification & Petition Fee Payment Pursuant to 37 CFR 1.97(d) []4

[before issue fee payment]

MAIL STOP AMENDMENTS

P. O. Box 1450

Alexandria, Virginia 22313-1450

Sir:

The following are submitted in the above-identified application in compliance with 37 CFR 1.97 & 1.98:

A list of documents on form PTO/SB/08a and/or PTO/SB/08b together with copies of each identified-document, and a translation or a concise explanation of each non-English language document is enclosed herewith.

This paper is submitted in accordance with:

[X] 6 37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action]

[]7 37 CFR 1.97(c): [before Final Office Action or Allowance, whichever

is earlier]; and

[]8 The required certification made in item 11 below; or

[]9 The \$180.00 fee specified in 37 CFR §1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.

[]10 \* 37 CFR§ 1.97(d): [before issue fee payment]; and

- This is a petition for consideration of the subject Information Disclosure Statement. The petition fee (\$130.00) required by 37 CFR 1.17(i)(1) is authorized in item 14 below. (Direct this letter to "Attention PETITIONS EXAMINER" and if applicable include batch locator information: e.g., "Allowed Files, , Date of Allowance Batch \_
- The required Certification is stated in item 11 below. (b)

## [X] 11 Certification

- [X] 12 Each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
- [ ] 13 No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.
- [XX] 14 Please charge the applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 07-0832. An original and one (1) copy of this document is enclosed.

Respectfully Submitted,
HARTMUT RICHTER ET AL.

BY: / advice ( . ( ) lara
Patricia A. Verlangieri, Attorney

Registration No. 42,201 (609) 734-6867

THOMSON Licensing Inc. Patent Operations P.O. Box 5312

Princeton, New Jersey 08543-5312

DATE: //bor

Certificate of Mailing under 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in a postage paid envelope addressed to: Commissioner for Patents,

Alexandria, Virginia 22313-1450 on the date indicated below.

Date: ///20/06

Signature

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Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449A/PTO

## TNFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 1

Complete if Known					
Application Number	09/575,080				
Filing Date	September 12, 2006				
First Named Inventor	Hartmut Richter et al.				
Art Unit	2627				
Examiner Name	Brian E. Miller				
Attorney Docket Number	PD990029 Div 1				

U.S. PATENT DOCUMENTS								
Examiner Initials *	Cite	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevan Passages or Relevant Figures Appear			
	No.1	Number - Kind Code <sup>2</sup> ( <i>if known</i> )						
		US-5764621	06-09-1998	Choi et al.				
		US- 5197049	03-23-1993	Wehrenberg et al.				
		US- 5871881	02-16-1999	Nishida et al.				
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	FOREIGN PATENT DOCUMENTS									
Examiner Cite No.1	Foreign Patent Document	Publication	Name of Patentee or	Pages, Columns, Lines,						
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> ( <i>if known</i> )	Date MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>				
		GB-2017379	10-03- 1979	Philips Gloeilampenfab rieken						
		EP0277028	08-03- 2003	Olympus Optial Co.						
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.